



Energy Management Centre

State Designated Agency to enforce Energy Conservation Act 2001
Department of Power, Government of Kerala
Thiruvananthapuram – 695017

Notice inviting quotation

Inviting quotation from prospective buyers/reputed vendors for “Sale of Carbon Credits of CFL lighting scheme - "Bachat Lamp Yojana”

Quotation No: EMC/168/2021-ET-C(ESS)

Date: 27/05/2022

Energy Management Centre (EMC) invites quotations from prospective buyers/reputed vendors for “Sale of 849,704 Carbon Credits of PoA 3223: CFL lighting scheme – “Bachat Lamp Yojana “

Interested buyers/brokerage firms that are registered with a registry that accepts CDM and which have traded a minimum of 25,000 CERS during the last 1 year may send their quotation in sealed cover along with:

- Company profile together with last three years’ Audited Financial Statements (Annexure I and Annexure-II of General guidelines) (see website www.keralaenergy.gov.in)
- Rate quoted against CERS in Annexure III of General guidelines (see website www.keralaenergy.gov.in)
- Document proof regarding transfer/trading of 25000 CERS in the last one year.
- Copy Notice inviting quotations and General guidelines signed in all pages by the bidder (see website www.keralaenergy.gov.in)

The bids shall be addressed to **The Director, Energy Management Centre, Sreekrishna Nagar, and Sreekariyam P.O Thiruvananthapuram – 695017** in a sealed single envelope super scribed as **Quotation for Carbon Credits of PoA 3223: CFL lighting scheme – “Bachat Lamp Yojana** and shall reach EMC on or **before 8th July 2022, 15: 00 hrs.** The quotation/bids will be opened at 15:30 hrs on the same day Participating bidders may please note that EMC does not bind itself to accept any of the bid(s) including the highest.

Last Date of Submission of bid in a sealed single envelope	27 June 2022 up to 15: 00 hrs. Date Extended to 8th July 2022 up to 15: 00 hrs.
Date of Bid opening	27 June 2022 up to 15: 30 hrs. Date Extended to 8th July 2022 up to 15: 30 hrs.
Document available on web site	www.keralaenergy.gov.in
Contact for queries	Energy Management Centre Tel: +91-471-2594922, 2594924 Mob:09497306305, Email: emck@keralaenergy.gov.in , sandeep@keralaenergy.gov.in

Sd/-
Director, EMC

GENERAL GUIDELINES AND FORMS

1. INTRODUCTION

Energy Management Centre, Kerala in Association with KSEB implemented the Bachat Lamp Yojana project to replace the conventional incandescent lamps (ICLs) with compact fluorescent lamps (CFLs) in the residential grid-connected households during the period 2010-2011 in which 1.26 Crore CFLs distributed to Domestic Consumers in all the districts of Kerala, India and same amount of ICLs were replaced from the grid. The replacement of ICLs with CFL ensures reduction in CO₂e emissions into the atmosphere. The project activity is registered in UNFCCC under CDM projects with title CFL lighting scheme – “Bachat Lamp Yojana” and project activity reference number is PoA 3223.

Subsequent to this, UNFCCC has issued 867,045 CERs for the PoA "CFL lighting scheme - "Bachat Lamp Yojana"" (3223) from monitoring period 30th May 2010 to 31st December 2012 whose issuance date is 29 December 2014 and issuance request number is 3223-MP1-IRP2. Total volume available for sale is 849,704; after 2% SOP fee is deducted by CDM Registry.

2. OBJECTIVES

The objective of this Invitation for quotation is to get bids from interested participants to sell 849,704 issued CERs by bidding process.

3. PROCESS FOR SALE OF CARBON CREDITS:

Invitation for Bid (IFB): Notice published on www.keralaenergy.gov.in is an invitation for Bid from the eligible prospective buyers/reputed vendors for their bidding interest.

3.1. Applicants are requested to fill Annex I to III and submit:

- Annex I: Mandatory information of the Applicant must be submitted
- Annex II: The Applicant should not be an entity blacklisted by the Indian govt. Thus, the declaration must be submitted.
- Annex III: Price bid shall be as per Annex III format.

3.2. EMC will consider shortlisting prospective applicants through desk review, only from those whose offers have been found to fulfill all requirements laid down in this Invitation for quotation (IFB).

3.3. The evaluations of the proposals would be done by EMC, which may seek clarifications from bidders if felt necessary and the bidders shall clarify such aspects.

3.4. Proposals fulfilling only partial requirements would be summarily rejected.

3.5. EMC reserves the right to cancel application of any prospective buyers/reputed vendors or all

prospective buyers/reputed vendors without assigning any reason thereof.

4. TECHNICAL CRITERIA

- 4.1. Submission of KYC documents – Detailed profile of the bidder along with address, contact number, mail id, fax number, copy of service tax registration, PAN & last three years Income Tax Return etc. (Annexure I);
- 4.2. Applicant should be a consultancy/brokerage firm/buyer with experience in the trade of CERs. A certificate issued by Chartered Accountant showing the volume of trade for the past 2 years may be attached in support of the experience along with Annexure 1.
- 4.3. Applicant should be at least 2-year-old (documentary evidence showing registration with any Govt Agency GST registration, Registration under Shop & Establishment Act or any other Act / Law to be provided by the bidder along with filled in Annexure I).
- 4.4. Signed copy of notice inviting quotation (NIQ) and general guidelines and forms as a token of acceptance of terms & conditions of the NIQ

5. PRICE BID

Price bid shall be in the following format:

- Bid should be submitted as per the format given in Annexure III in the English language

6. IMPORTANT DATES:

SI N.	Particulars	Date, Time, Venue
1	Last Date of Submission Of bid in a sealed single envelope	27 June 2022 up to 15: 00 hrs.
2	Date of Bid opening	27 June 2022 up to 15: 30 hrs.

Validity of the Quotation: Quotation must remain valid for 30 days from the Bid opening date.

7. SUBMISSION OF QUOTATION :

The bids be addressed to The Director, Energy Management Centre, Sreekrishna Nagar, Sreekariyam P.O.Thiruvananthapuram – 695017 in a sealed single envelope and on or before 27 June 2022 up to 15: 00 hrs. The quotation/bids will be opened at 15:30 hrs on the same day

8. BID OPENING

The quotation/bids will be opened on 27 June 2022 at 15:30 hrs on the same day. Participating bidders may please note that EMC does not bind itself to accept any of the bid(s) including the highest.

9. CONVERSION TO SINGLE CURRENCY

- 9.1. All bid prices shall be submitted USD only (The exchange rates of SBI on the date of the transaction will prevail).

10. Award of Contract

10.1. Award criteria

Subject to clause 10.4, **EMC** shall award the contract to the bidder whose bid has been determined to be substantially responsive to the bidding documents and who has offered the highest price. Evaluated bid price pursuant to clause 10.5, provided further that the bidder has the capability and the resources to carry out the contract effectively.

10.2. EMC's right to vary quantities at time of award

EMC reserves the right at the time of award of contract to increase or decrease the quantity of CER's without any change in unit price or other terms and conditions.

10.3. EMC's Right to Accept Any Bid and to Reject Any or All Bids.

EMC reserves the right to accept or reject any bid, and to annul the bidding process and reject all bids at any time prior to award of Contract, without thereby incurring any liability to the affected Bidder or Bidders or any obligation to inform the affected Bidder or Bidders of the grounds for EMC's action.

10.4. Notification of Award.

- 10.4.1. Prior to the expiry of the period of bid validity prescribed in this document, EMC will notify the successful bidder by Award of Contract confirmed in writing that his bid has been accepted. This letter (hereinafter and in the conditions of Contract referred to as "Letter of Acceptance") shall name the sum which Bidder will pay to EMC in consideration of the Purchase of CERs by the Bidder.

10.5. Signing of Contract

- 10.5.1. Within two days after EMC notifies the successful Bidder that its bid has been accepted, EMC will issue a draft CER purchase agreement (CERPA) incorporating all terms between the parties.
- 10.5.2. Both parties shall sign the CERPA within 15 days from the date of the award of contract.
- 10.5.3. Failure of the successful Bidder to comply with the requirement of Para 10.5.1 or Para10.5.2 shall constitute sufficient grounds for the annulment of the award, in which event EMC may make the award to the next highest evaluated bidder or call for new bids.

11. Other terms and Conditions:

- 11.1. Prospective Buyers/Reputed Vendors shall Sign CERPA with EMC for actual quantity of CER to be purchased.
- 11.2. Rate of CER offered shall be inclusive of Goods and Services Tax (GST).
- 11.3. GST No. of prospective buyers/reputed vendors may be indicated, wherever applicable.
- 11.4. Prospective Buyers/Reputed Vendors shall pay Issuance fee to CDM Registry in advance which shall be adjusted in the final invoice to buyer by EMC, if required.
- 11.5. Transfer or cancellation fee of CERs, if required, shall be borne by the buyer.
- 11.6. Balance payment due to EMC shall be paid by the prospective buyers/reputed vendors to EMC account within two working days after transfer of CER to prospective buyers/reputed vendors' authorized account.

12. FRAUD PREVENTION

- 12.1. Commitments of the Bidder(s) / Contractor(s) / Buyer(s) / Vendor(S):
- 12.2. The Bidder(s) / Contractor(s) / Buyer(s) / Vendor(S) shall be bound to take all measures necessary to prevent Fraud and Corruption while dealing with EMC.
- 12.3. The Bidder(s)/Contractor(s)/Buyer(s)/Vendor(S) shall not, directly or through any other person or firm, offer, promise or give or otherwise allow any of EMC's employees any material or other benefit which he/she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the submission of quotation or during the execution of the contract.
- 12.4. The Bidder(s)/Contractor(s)/Buyer(s)/Vendor(S) shall not enter with other Bidders into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
- 12.5. The Bidder(s)/Contractor(s)/Buyer(s)/Vendor(S) shall not commit or allow any employees of EMC to commit any offence under the relevant provisions of IPC/Prevention of Corruption Act; further the Bidder(s)/Contractor(s) Buyer(s)/Vendor(S) will not use improperly or allow any employee(s) of EMC, for purposes of competition or personal gain, or pass onto others, any information or document provided by EMC as per of the business relationship, including

information contained or transmitted electronically.

- 12.6. The Bidder(s)/Contractor(s)/Buyer(s)/Vendor(S) shall not instigate third persons to commit offenses/activities outlined in Fraud Prevention Policy or be an accessory to such offenses.
- 12.7. The Bidder(s)/Contractor(s)/Buyer(s)/Vendor(S) if in possession of any information regarding fraud/suspected fraud, hereby agree and undertake to inform EMC of the same without any delay.
- 12.8. Disqualification from bidding process and exclusion from future contracts: If the Bidder(s)/Contractor(s), before award or during execution has committed a transgression through a violation of this Clause , above or “Fraud Prevention Policy” of EMC in any other form such as to put their reliability or credibility in question, EMC other than taking recourse available under law, shall be entitled to disqualify the Bidder(s)/ Contractor(s) Buyer(s)/ Vendor(S) from undertaking any transaction with EMC and/or declare the bidder(s)/contractor(s) ineligible to be awarded a contract either indefinitely or for a stated period of time.

13. ARBITRATION

All disputes arising between the parties (Buyer and Seller) out of or in relation to the Sale of Carbon Credits of CFL lighting scheme shall be settled amicably. If, however, the parties fail to resolve amicably, the same shall be referred to and settled through an arbitration proceeding of a single/sole arbitrator, to be nominated by EMC. The arbitration proceeding shall be conducted in accordance with the arbitration and conciliation Act, 1996 of India. The reasoned award made in pursuance there of shall be binding on the parties, the venue of arbitration shall be Trivandrum, Kerala, India. Only the courts at Trivandrum shall have the jurisdiction over the disputes in relation to and arising sales of CERs.

ANNEXURE -1

Mandatory Information of Bidder (signed copy of documents as per para 4 -technical criteria- to be submitted and shall be mentioned in the remarks column of the table below)

		Remarks
Company Name	:	
Registration Number	:	
Registered Address	:	
PAN NO. / TAN NO.	:	
Name of Partners / Directors	:	
Bidder Type (Foreign/ Indian)	:	
City Name	:	
Postal Code	:	
Company's Establishment Year	:	
Company's Nature of Business	:	
Company's Legal Status	:	
Company's financial status (last three years audited statement)	:	
Company Category	:	
Contact Details	:	
Contact Name	:	
Designation	:	
Date Of Birth	:	
Correspondence Email	:	
Phone	:	
Mobile	:	

It is certified that there is no deviation in quotation terms and I/we accept all the terms of this quotation. Signature of the bidder: _____

Name Designation

ANNEXURE-II

Proforma of declaration regarding banning of business dealings

In case of proprietary concern

I hereby declare that neither I in my personal name or in the name of my proprietary concern M/s

..... Which is submitting the accompanying bid nor any other concern in which I am a proprietor nor any partnership firm in which I am or was involved as partner are not currently banned by any departments of Govt of India or any Departments of Govt of Kerala or by EMC.

In case of partnership firm

We hereby declare that neither we, M/s Which is submitting the accompanying bid nor any partner are involved in the management of said firm either in his individual capacity or as proprietor of any concern or as partner of any firm in which he/she was a partner are not currently banned by any departments of Govt of India or any Departments of Govt of Kerala or by EMC:

In case of Company

We hereby declare that we, M/s are not currently banned by any departments of Govt of India or any Departments of Govt of Kerala or by EMC:

It is understood that if this declaration is found to be false, EMC shall have the right to reject my / our bid and if the bid has been resulted in contract, the contract is liable to be terminated.

Place

Signature of bidder

Date

Name & Designation of the signatory.

Annexure III

Quotation No. :

Price Bid

(To be completed by bidder)

Name of the Bidder: _____

Address: _____

Contact Person: _____

Tel No. _____ Fax No. _____

Our price bid offer is as under:

Name of the project	Volume Available (tCO2e)	Unit Price (USD/ tCO2e or INR/tCO2e)	GST/ any other taxes	Total	Issuance fee for CDM Registry	Net payable to EMC
CFL lighting scheme - "Bachat Lamp Yojana" (223)	849,704					

We confirm that all other terms including the validity of our bids are as per the notice inviting quotation and there is no deviation from the quotation terms

Name _____

Designation _____

Signature and seal of the Bidder _____